

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)
For Design, Provisional, or Utility Applications

PATENT
APPLICATION

COMPLETION Under
Rule 53(f)

In re PATENT APPLICATION of
Inventor(s): SUEHIRO et al.

Appn. No.: 09 | 749,636 | Atty.Dkt. | P | 275747 |

Series Code ↑

Serial No. ↑

Attn: Application Division

M# | TYGUS001 | Client Ref

Filed: December 28, 2000
Title: LIGHT EMITTING DIODEHon. Commissioner of Patents
Washington, DC 20231

Date: May 3, 2001

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** copy attached not yet received
 2. Signed Declaration attached. Original Facsimile/Copy

(Always "X" box 2 if filling signed Declaration and
 "X" box 2A only if top box of the Declaration is X'd and file application copy, or
 "X" box 2B only if none of the top three boxes of the Declaration is X'd.)

2A. Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.

2B. The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.

3. Specification originally filed in non-English language; hence verified translation attached of:
 a. Abstract
 b. # pages of Specification(only spec. & claims)
 c. Drawing(s) No of Sheets 05/04/2001 AGOITOM 00000089 09749636
 Fig(s.) 06 FC:115 110.00 OP

4. Letter filing formal drawing attached.

5. Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in _____

8.

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

9. _____ (No.) Certified copy (copies): attached; previously filed (date) _____
in U.S. Application No. / filed on _____

10. Small Entity Status is Not claimed is claimed (file PAT-256 if this is the first claim of Small Entity Status)

11. Attached: Information Disclosure Statement; PTO-Form 1449

12. Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity	Fee Code
13. Basic Filing Fee		Design Application Not Design Application	\$320/\$160 \$710/\$355	+710	106/26 101/201
14. Total Effective Claims	22	minus 20 =	2	x \$18/\$9	+36
15. Independent Claims	7	minus 3 =	4	x \$80/\$40	+320
16. If any proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)			\$270/\$135	+0	104/204
17. Surcharge for filing Declaration/filing fee late			\$130/\$65	+130	105/205
18.			FILING FEE ENCLOSED =	\$1196	
19. Original due date:	April 15, 2001				
20. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached	(1 mo) (2mos) (3mos) (4mos)	\$110/\$55 = \$390/\$195 = \$890/\$445 = \$1390/\$695 =	+110	115/215 116/216 117/217 118/218	
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee		\$130	+0	139	
22. If "assignment" box 5 is X'd, add recording fee.		\$40	+40	581	
23. Petition Fee for		\$130	+0		
24.		TOTAL FEE ENCLOSED =	\$1346		

Our Deposit Account No. 03-3975

Our Order No. 032775

0275747

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
Intellectual Property Group

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Atty/Sec: DSL/ksf

By Atty: Dale S. Lazar

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NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments

05/04/2001 10:10:00
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A copy of this notice **MUST** be returned with the reply.

- The balance due by applicant is \$ 1196.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The oath or declaration is missing.
- \$320 for 4 independent claims over 3
- \$36 for 2 total claims over 20
- Total additional claim fee(s) for this application is \$356.
- Such status (37 CFR 1.27).
- Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming statutory basic filing fee is missing.

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

Filing Date Granted

FILED UNDER 37 CFR 1.53(b)

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Date Mailed: 02/15/2001

CONFIRMATION NO. 6023
FORMALITIES LETTER
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www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/749,636	12/28/2000	Yoshinobu Suehiro	PM 275747
UNITED STATES PATENT AND TRADEMARK OFFICE COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231			

